



December 9, 2009 – Letter B

Tom Griffin
Actuarial Board for Counseling and Discipline
1850 M Street, NW
Suite 300
Washington, DC 20036

RE: My Request dated 11/4/09 for additional information regarding dismissal / Your letter of acknowledgement dated 11/24/2009

Dear Tom:

Thank you for your letter of 11/24/2009 acknowledging receipt of my request for more information regarding the ABCD's decision to dismiss my complaint with respect to Hartman and Anker. You have also included in that inquiry the dismissal of my complaint against Falk.

While your letter indicated receipt of my request it did not indicate what if any action was initiated as a result of my inquiry. Can you please let me know what additional response I might expect or how my request for more information is being handled?

It seems important to me that for the ABCD to be considered a relevant disciplinary body it must not only recommend discipline in a quick, timely fashion when discipline is called for but also respond to complainants in a more professional manner. That is, a complainant who provides, in compliance with Precept 13 and the ABCD *Rules of Procedure*, a detailed and well documented disclosure of an apparent, material violation of the Code ought to, if the complaint is dismissed, be provided with a detailed response indicating why what appeared to the complainant to be apparent, material violations of the Code were not considered to be such by the ABCD.

At the very least this would be a learning experience for the complainant. However, by requiring that the ABCD document all of its decisions regarding disciplinary matters (recommendations for discipline as well as dismissals) ensures that its processes and decisions will be perceived as reasonable and fair.

Sincerely,

Tom Bakos, FSA, MAAA